

# AAA616: Program Analysis

## Lecture 4 — Introduction to Program Analysis

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# Static Program Analysis

A general method for  
automatic and sound approximation of  
sw run-time behaviors  
before the execution

- “before”: statically, without running sw
- “automatic”: sw analyzes sw
- “sound”: all possibilities into account
- “approximation”: cannot be exact
- “general”: for any source language and property
  - ▶ C, C++, C#, F#, Java, JavaScript, ML, Scala, Python, JVM, Dalvik, x86, Excel, etc
  - ▶ “buffer-overrun?”, “memory leak?”, “type errors?”, “ $x = y$  at line 2?”, “memory use  $\leq 2K$ ?", etc

# Program Analysis is Undecidable

Reasoning about program behavior involves the Halting Problem: e.g.,

```
if ... then  $x := 1$  else ( $S; x := 2$ );  $y := x$ 
```

( $S$  does not define  $x$ .) What are the possible values of  $x$  at the last statement?



Alan Turing (1912–1954)

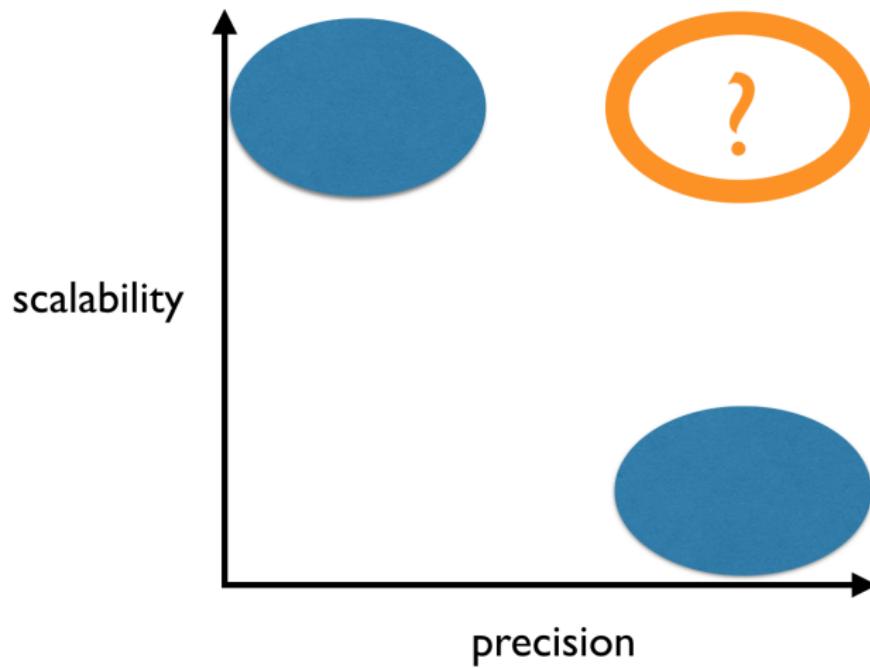
# Side-Stepping Undecidability

error states



error states

# Tradeoff between Precision and Scalability



# The While Language

$$\begin{array}{lcl} a & \rightarrow & n \mid x \mid a_1 + a_2 \mid a_1 \star a_2 \mid a_1 - a_2 \\ b & \rightarrow & \text{true} \mid \text{false} \mid a_1 = a_2 \mid a_1 \leq a_2 \mid \neg b \mid b_1 \wedge b_2 \\ c & \rightarrow & x := a \mid \text{skip} \mid c_1; c_2 \mid \text{if } b \text{ } c_1 \text{ } c_2 \mid \text{while } b \text{ } c \end{array}$$

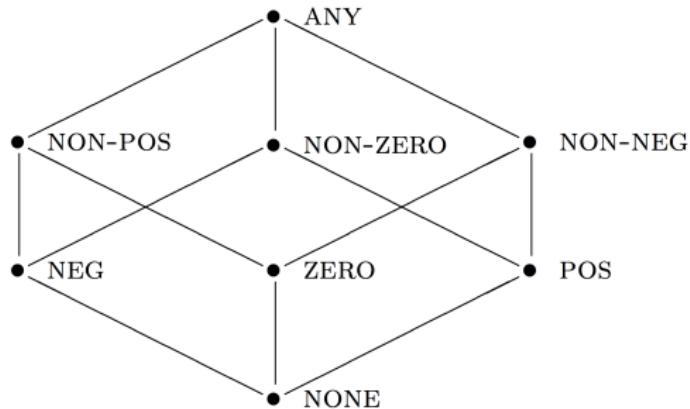
## Example 1: Sign Analysis

Execute the program with abstract values (**POS**, **NEG**, **0**,  $\top$ ,  $\perp$ ):

```
// a >= 0, b >= 0
int mod (int a, int b) {
    int q = 0;
    int r = a;
    while (r >= b) {
        r = r - b;
        q = q + 1;
    }
    return r;
}
```

# Sign Domain

The complete lattice  $(\mathbf{Sign}, \sqsubseteq)$ :



The lattice is an abstraction of integers:

$$\alpha_{\mathbb{Z}} : \wp(\mathbb{Z}) \rightarrow \mathbf{Sign}, \quad \gamma_{\mathbb{Z}} : \mathbf{Sign} \rightarrow \wp(\mathbb{Z})$$

## Abstract States

The complete lattice of abstract states  $(\widehat{\text{State}}, \sqsubseteq)$ :

$$\widehat{\text{State}} = \text{Var} \rightarrow \text{Sign}$$

with the pointwise ordering:

$$\hat{s}_1 \sqsubseteq \hat{s}_2 \iff \forall x \in \text{Var}. \hat{s}_1(x) \sqsubseteq \hat{s}_2(x).$$

The least upper bound of  $Y \subseteq \widehat{\text{State}}$ ,

$$\bigsqcup Y = \lambda x. \bigsqcup_{\hat{s} \in Y} \hat{s}(x).$$

### Lemma

Let  $S$  be a non-empty set and  $(D, \sqsubseteq)$  be a poset. Then, the poset  $(S \rightarrow D, \sqsubseteq)$  with the ordering

$$f_1 \sqsubseteq f_2 \iff \forall s \in S. f_1(s) \sqsubseteq f_2(s)$$

is a complete lattice (resp., CPO) if  $D$  is a complete lattice (resp., CPO).

## Abstract States

The complete lattice of abstract states  $(\widehat{\text{State}}, \sqsubseteq)$ :

$$\widehat{\text{State}} = \text{Var} \rightarrow \text{Sign}$$

with the pointwise ordering:

$$\hat{s}_1 \sqsubseteq \hat{s}_2 \iff \forall x \in \text{Var}. \hat{s}_1(x) \sqsubseteq \hat{s}_2(x).$$

The least upper bound of  $Y \subseteq \widehat{\text{State}}$ ,

$$\bigsqcup Y = \lambda x. \bigsqcup_{\hat{s} \in Y} \hat{s}(x).$$

$$\alpha : \wp(\text{State}) \rightarrow \widehat{\text{State}}$$

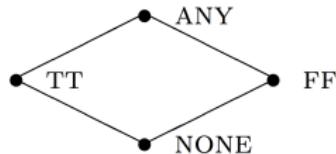
$$\alpha(S) = \lambda x. \bigsqcup_{s \in S} \alpha_{\mathbb{Z}}(\{s(x)\})$$

$$\gamma : \widehat{\text{State}} \rightarrow \wp(\text{State})$$

$$\gamma(\hat{s}) = \{s \in \text{State} \mid \forall x \in \text{Var}. s(x) \in \gamma_{\mathbb{Z}}(\hat{s}(x))\}$$

## Abstract Booleans

The truth values  $\mathbf{T} = \{\text{true}, \text{false}\}$  are abstracted by the complete lattice  $(\widehat{\mathbf{T}}, \sqsubseteq)$ :



The abstraction and concretization functions for the lattice:

$$\alpha_{\mathbf{T}} : \wp(\mathbf{T}) \rightarrow \widehat{\mathbf{T}}, \quad \gamma_{\mathbf{T}} : \widehat{\mathbf{T}} \rightarrow \wp(\mathbf{T})$$

# Abstract Semantics

$$\widehat{\mathcal{A}}[\![a]\!] : \widehat{\mathbf{State}} \rightarrow \mathbf{Sign}$$

$$\widehat{\mathcal{A}}[\![n]\!](\hat{s}) = \alpha_{\mathbb{Z}}(\{n\})$$

$$\widehat{\mathcal{A}}[\![x]\!](\hat{s}) = \hat{s}(x)$$

$$\widehat{\mathcal{A}}[\![a_1 + a_2]\!](\hat{s}) = \widehat{\mathcal{A}}[\![a_1]\!](\hat{s}) +_S \widehat{\mathcal{A}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{A}}[\![a_1 \star a_2]\!](\hat{s}) = \widehat{\mathcal{A}}[\![a_1]\!](\hat{s}) \star_S \widehat{\mathcal{A}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{A}}[\![a_1 - a_2]\!](\hat{s}) = \widehat{\mathcal{A}}[\![a_1]\!](\hat{s}) -_S \widehat{\mathcal{A}}[\![a_2]\!](\hat{s})$$

# Abstract Semantics

$+_S$	NONE	NEG	ZERO	POS	NON-POS	NON-ZERO	NON-NEG	ANY
NONE	NONE	NONE	NONE	NONE	NONE	NONE	NONE	NONE
NEG	NONE	NEG	NEG	ANY	NEG	ANY	ANY	ANY
ZERO	NONE	POS	ZERO	POS	NON-POS	NON-ZERO	NON-NEG	ANY
POS	NONE	ANY	POS	POS	ANY	ANY	POS	ANY
NON-POS	NONE	NEG	NON-POS	ANY	NON-POS	ANY	ANY	ANY
NON-ZERO	NONE	ANY	NON-ZERO	ANY	ANY	ANY	ANY	ANY
NON-NEG	NONE	ANY	NON-NEG	POS	ANY	ANY	NON-NEG	ANY
ANY	NONE	ANY	ANY	ANY	ANY	ANY	ANY	ANY

$\star_S$	NEG	ZERO	POS		$-_S$	NEG	ZERO	POS
NEG	POS	ZERO	NEG		NEG	ANY	NEG	NEG
ZERO	ZERO	ZERO	ZERO		ZERO	POS	ZERO	NEG
POS	NEG	ZERO	POS		POS	POS	POS	ANY

# Abstract Semantics

$$\widehat{\mathcal{B}}[\![b]\!] : \widehat{\text{State}} \rightarrow \widehat{\mathbf{T}}$$

$$\widehat{\mathcal{B}}[\![\text{true}]\!](\hat{s}) = \text{TT}$$

$$\widehat{\mathcal{B}}[\![\text{false}]\!](\hat{s}) = \text{FF}$$

$$\widehat{\mathcal{B}}[\![a_1 = a_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![a_1]\!](\hat{s}) =_S \widehat{\mathcal{B}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![a_1 \leq a_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![a_1]\!](\hat{s}) \leq_S \widehat{\mathcal{B}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![\neg b]\!](\hat{s}) = \neg_S \widehat{\mathcal{B}}[\![b]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![b_1 \wedge b_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![b_1]\!](\hat{s}) \wedge_S \widehat{\mathcal{B}}[\![b_2]\!](\hat{s})$$

# Abstract Semantics

$=_S$	NEG	ZERO	POS
NEG	ANY	FF	FF
ZERO	FF	TT	FF
POS	FF	FF	ANY

$\leq_S$	NEG	ZERO	POS
NEG	ANY	TT	TT
ZERO	FF	TT	TT
POS	FF	FF	ANY

$\neg_T$	
NONE	NONE
TT	FF
FF	TT
ANY	ANY

$\wedge_T$	NONE	TT	FF	ANY
NONE	NONE	NONE	NONE	NONE
TT	NONE	TT	FF	ANY
FF	NONE	FF	FF	FF
ANY	NONE	ANY	FF	ANY

# Abstract Semantics

$$\widehat{\mathcal{C}}[\![c]\!] : \widehat{\text{State}} \rightarrow \widehat{\text{State}}$$

$$\widehat{\mathcal{C}}[\![x := a]\!] = \lambda \hat{s}. \hat{s}[x \mapsto \widehat{\mathcal{A}}[\![a]\!](\hat{s})]$$

$$\widehat{\mathcal{C}}[\![\text{skip}]\!] = \text{id}$$

$$\widehat{\mathcal{C}}[\![c_1; c_2]\!] = \widehat{\mathcal{C}}[\![c_2]\!] \circ \widehat{\mathcal{C}}[\![c_1]\!]$$

$$\widehat{\mathcal{C}}[\![\text{if } b \ c_1 \ c_2]\!] = \widehat{\text{cond}}(\widehat{\mathcal{B}}[\![b]\!], \widehat{\mathcal{C}}[\![c_1]\!], \widehat{\mathcal{C}}[\![c_2]\!])$$

$$\widehat{\mathcal{C}}[\![\text{while } b \ c]\!] = \text{fix } \widehat{F}$$

$$\text{where } \widehat{F}(g) = \widehat{\text{cond}}(\widehat{\mathcal{B}}[\![b]\!], g \circ \widehat{\mathcal{C}}[\![c]\!], \text{id})$$

$$\widehat{\text{cond}}(f, g, h)(\hat{s}) = \begin{cases} \perp & \cdots f(\hat{s}) = \text{NONE} \\ f(\hat{s}) & \cdots f(\hat{s}) = \text{TT} \\ g(\hat{s}) & \cdots f(\hat{s}) = \text{FF} \\ f(\hat{s}) \sqcup g(\hat{s}) & \cdots f(\hat{s}) = \text{ANY} \end{cases}$$

## Example 2: Taint Analysis (Information Flow Analysis)

Can the information from the untrustworthy source be transferred to the sink?

```
x:=source(); ...; sink(y)
```

Applications to sw security:

- privacy leak
- SQL injection
- buffer overflow
- integer overflow
- XSS
- ...

## Abstract Domain

- The complete lattice of the abstract values  $(\widehat{\mathbf{T}}, \sqsubseteq)$ :

$$\widehat{\mathbf{T}} = \{\text{LOW}, \text{HIGH}\}$$

with the ordering  $\text{LOW} \sqsubseteq \text{HIGH}$ ,  $\text{LOW} \sqsubseteq \text{LOW}$ , and  $\text{HIGH} \sqsubseteq \text{HIGH}$ .

- The lattice of states:

$$\widehat{\mathbf{State}} = \mathit{Var} \rightarrow \widehat{\mathbf{T}}$$

# Abstract Semantics

$$\begin{aligned}\widehat{\mathcal{A}}[a] &: \widehat{\text{State}} \rightarrow \widehat{\mathbf{T}} \\ \widehat{\mathcal{A}}[n](\hat{s}) &= \begin{cases} \text{LOW} & \cdots n \text{ is public} \\ \text{HIGH} & \cdots n \text{ is private} \end{cases} \\ \widehat{\mathcal{A}}[x](\hat{s}) &= \hat{s}(x) \\ \widehat{\mathcal{A}}[a_1 + a_2](\hat{s}) &= \widehat{\mathcal{A}}[a_1](\hat{s}) \sqcup \widehat{\mathcal{A}}[a_2](\hat{s}) \\ \widehat{\mathcal{A}}[a_1 \star a_2](\hat{s}) &= \widehat{\mathcal{A}}[a_1](\hat{s}) \sqcup \widehat{\mathcal{A}}[a_2](\hat{s}) \\ \widehat{\mathcal{A}}[a_1 - a_2](\hat{s}) &= \widehat{\mathcal{A}}[a_1](\hat{s}) \sqcup \widehat{\mathcal{A}}[a_2](\hat{s})\end{aligned}$$

# Abstract Semantics

$$\widehat{\mathcal{B}}[\![b]\!] : \widehat{\text{State}} \rightarrow \widehat{\mathbf{T}}$$

$$\widehat{\mathcal{B}}[\![\text{true}]\!](\hat{s}) = \text{LOW}$$

$$\widehat{\mathcal{B}}[\![\text{false}]\!](\hat{s}) = \text{LOW}$$

$$\widehat{\mathcal{B}}[\![a_1 = a_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![a_1]\!](\hat{s}) \sqcup \widehat{\mathcal{B}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![a_1 \leq a_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![a_1]\!](\hat{s}) \sqcup \widehat{\mathcal{B}}[\![a_2]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![\neg b]\!](\hat{s}) = \widehat{\mathcal{B}}[\![b]\!](\hat{s})$$

$$\widehat{\mathcal{B}}[\![b_1 \wedge b_2]\!](\hat{s}) = \widehat{\mathcal{B}}[\![b_1]\!](\hat{s}) \sqcup \widehat{\mathcal{B}}[\![b_2]\!](\hat{s})$$

# Abstract Semantics

$$\widehat{\mathcal{C}}[c] : \widehat{\text{State}} \rightarrow \widehat{\text{State}}$$

$$\widehat{\mathcal{C}}[x := a] = \lambda \hat{s}. \hat{s}[x \mapsto \widehat{\mathcal{A}}[a](\hat{s})]$$

$$\widehat{\mathcal{C}}[\text{skip}] = \text{id}$$

$$\widehat{\mathcal{C}}[c_1; c_2] = \widehat{\mathcal{C}}[c_2] \circ \widehat{\mathcal{C}}[c_1]$$

$$\widehat{\mathcal{C}}[\text{if } b \ c_1 \ c_2] = \lambda \hat{s}. \widehat{\mathcal{C}}[c_1](\hat{s}) \sqcup \widehat{\mathcal{C}}[c_2](\hat{s})$$

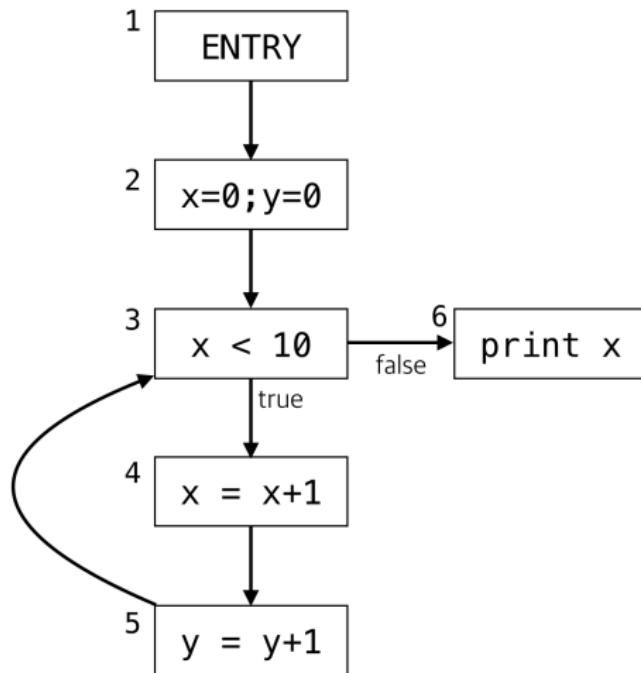
$$\widehat{\mathcal{C}}[\text{while } b \ c] = \text{fix } \widehat{F}$$

$$\text{where } \widehat{F}(g) = \lambda \hat{s}. \hat{s} \sqcup (g \circ \widehat{\mathcal{C}}[c])(\hat{s})$$

## Example 3: Interval Analysis

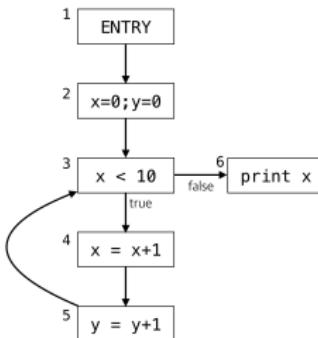
- ```
x = 0;
while (x < 10) {
    assert (x < 10);
    x++;
}
assert (x == 10);
```
- ```
x = 0;
y = 0;
while (x < 10) {
    assert (y < 10);
    x++; y++;
}
assert (y == 10);
```

## Example 3: Interval Analysis



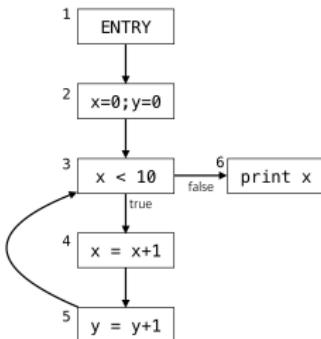
Node	Result
1	$x \mapsto \perp$ $y \mapsto \perp$
2	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$
3	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$
4	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$
5	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$
6	$x \mapsto [10, 10]$ $y \mapsto [0, +\infty]$

# Fixed Point Computation Does Not Terminate



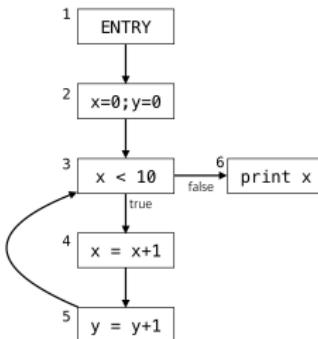
Node	initial	1	2	3	10	11	$k$	$\infty$
1	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$
2	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$			
3	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 1]$ $y \mapsto [0, 1]$	$x \mapsto [0, 2]$ $y \mapsto [0, 2]$	$x \mapsto [0, 9]$ $y \mapsto [0, 9]$	$x \mapsto [0, 9]$ $y \mapsto [0, 10]$	$x \mapsto [0, 9]$ $y \mapsto [0, k - 1]$	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$
4	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [1, 1]$ $y \mapsto [0, 0]$	$x \mapsto [1, 2]$ $y \mapsto [0, 1]$	$x \mapsto [1, 3]$ $y \mapsto [0, 2]$	$x \mapsto [1, 10]$ $y \mapsto [0, 9]$	$x \mapsto [1, 10]$ $y \mapsto [0, 10]$	$x \mapsto [1, 10]$ $y \mapsto [0, k - 1]$	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$
5	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [1, 1]$ $y \mapsto [1, 1]$	$x \mapsto [1, 2]$ $y \mapsto [1, 2]$	$x \mapsto [1, 3]$ $y \mapsto [1, 3]$	$x \mapsto [1, 10]$ $y \mapsto [1, 10]$	$x \mapsto [1, 10]$ $y \mapsto [1, 11]$	$x \mapsto [1, 10]$ $y \mapsto [1, k]$	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$
6	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto [0, 0]$	$x \mapsto \perp$ $y \mapsto [0, 1]$	$x \mapsto \perp$ $y \mapsto [0, 2]$	$x \mapsto [10, 10]$ $y \mapsto [0, 9]$	$x \mapsto [10, 10]$ $y \mapsto [0, 10]$	$x \mapsto [10, 10]$ $y \mapsto [0, k - 1]$	$x \mapsto [10, 10]$ $y \mapsto [0, +\infty]$

# Fixed Point Computation with Widening and Narrowing



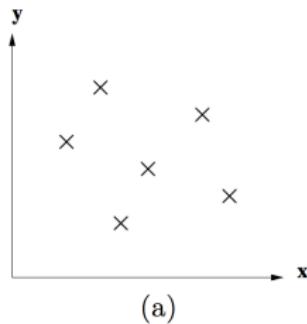
Node	initial	1	2	3
1	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$
2	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$
3	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$
4	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [1, 1]$ $y \mapsto [0, 0]$	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$
5	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto [1, 1]$ $y \mapsto [1, 1]$	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$
6	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto [0, 0]$	$x \mapsto [10, +\infty]$ $y \mapsto [0, +\infty]$	$x \mapsto [10, +\infty]$ $y \mapsto [0, +\infty]$

# Fixed Point Computation with Widening and Narrowing

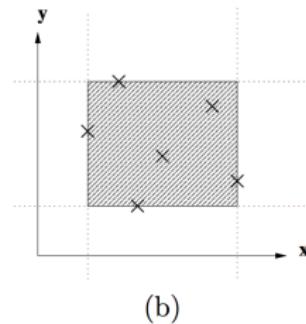


Node	initial	1	2
1	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$	$x \mapsto \perp$ $y \mapsto \perp$
2	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$	$x \mapsto [0, 0]$ $y \mapsto [0, 0]$
3	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$	$x \mapsto [0, 9]$ $y \mapsto [0, +\infty]$
4	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [0, +\infty]$
5	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$	$x \mapsto [1, 10]$ $y \mapsto [1, +\infty]$
6	$x \mapsto [10, +\infty]$ $y \mapsto [0, +\infty]$	$x \mapsto [10, 10]$ $y \mapsto [0, +\infty]$	$x \mapsto [10, 10]$ $y \mapsto [0, +\infty]$

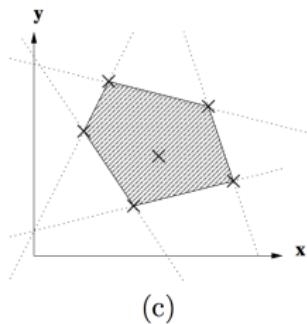
## cf) Numerical Abstractions



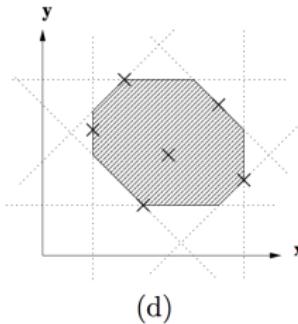
(a)



(b)



(c)



(d)

(image from The Octagon Abstract Domain by Antonine Mine)

# Interval vs. Octagon

```
i = 0;  
p = 0;  
  
while (i < 12) {  
    i = i + 1;  
    p = p + 1;  
}  
assert(i==p)
```

Interval analysis

i	[12,12]
p	[0,+oo]

Octagon analysis

i	[12,12]
p	[12,12]
p-i	[0,0]
p+i	[24,24]