AAA616: Program Analysis

Lecture 2 — Denotational Semantics

Hakjoo Oh
2016 Fall

The While Language

- Syntax

$$
\begin{array}{lcl}
a & \rightarrow & n \mid x \mid a_1 + a_2 \mid a_1 \star a_2 \mid a_1 - a_2 \\
b & \rightarrow & \texttt{true} \mid \texttt{false} \mid a_1 = a_2 \mid a_1 \leq a_2 \mid \neg b \mid b_1 \wedge b_2 \\
c & \rightarrow & x := a \mid \texttt{skip} \mid c_1; c_2 \mid \texttt{if}\ b\ c_1\ c_2 \mid \texttt{while}\ b\ c
\end{array}
$$

- Semantics
  - $\mathcal{A}[\![a]\!]$: **State** $\rightarrow$ **Z**
  - $\mathcal{B}[\![b]\!]$: **State** $\rightarrow$ **T**
  - $\mathcal{C}[\![c]\!]$: **State** $\hookrightarrow$ **State**

Semantics of Arithmetic Expressions

$$
\begin{array}{rcl}
\mathcal{A}[\![a]\!] & : & \textbf{State} \to \textbf{Z} \\
\mathcal{A}[\![n]\!](s) & = & n \\
\mathcal{A}[\![x]\!](s) & = & s(x) \\
\mathcal{A}[\![a_1 + a_2]\!](s) & = & \mathcal{A}[\![a_1]\!](s) + \mathcal{A}[\![a_2]\!](s) \\
\mathcal{A}[\![a_1 \star a_2]\!](s) & = & \mathcal{A}[\![a_1]\!](s) \times \mathcal{A}[\![a_2]\!](s) \\
\mathcal{A}[\![a_1 - a_2]\!](s) & = & \mathcal{A}[\![a_1]\!](s) - \mathcal{A}[\![a_2]\!](s)
\end{array}
$$

Semantics of Boolean Expressions

$$
\begin{array}{rcl}
\mathcal{B}[\![b]\!] & : & \textsf{State} \to \textsf{T} \\
\mathcal{B}[\![\texttt{true}]\!](s) & = & true \\
\mathcal{B}[\![\texttt{false}]\!](s) & = & false \\
\mathcal{B}[\![a_1 = a_2]\!](s) & = & \mathcal{B}[\![a_1]\!](s) = \mathcal{B}[\![a_2]\!](s) \\
\mathcal{B}[\![a_1 \leq a_2]\!](s) & = & \mathcal{B}[\![a_1]\!](s) \leq \mathcal{B}[\![a_2]\!](s) \\
\mathcal{B}[\![\neg b]\!](s) & = & \mathcal{B}[\![b]\!](s) = false \\
\mathcal{B}[\![b_1 \wedge b_2]\!](s) & = & \mathcal{B}[\![b_1]\!](s) \wedge \mathcal{B}[\![b_2]\!](s)
\end{array}
$$

Semantics of Commands

$$\begin{array}{rcl}
\mathcal{C}[\![c]\!] & : & \mathbf{State} \hookrightarrow \mathbf{State} \\
\mathcal{C}[\![x := a]\!] & = & \lambda s.s[x \mapsto \mathcal{A}[\![a]\!](s)] \\
\mathcal{C}[\![\texttt{skip}]\!] & = & \mathbf{id} \\
\mathcal{C}[\![c_1; c_2]\!] & = & \mathcal{C}[\![c_2]\!] \circ \mathcal{C}[\![c_1]\!] \\
\mathcal{C}[\![\texttt{if}\ b\ c_1\ c_2]\!] & = & \mathbf{cond}(\mathcal{B}[\![b]\!], \mathcal{C}[\![c_1]\!], \mathcal{C}[\![c_2]\!]) \\
\mathcal{C}[\![\texttt{while}\ b\ c]\!] & = & \mathit{fix} F \\
 & & \text{where } F(g) = \mathbf{cond}(\mathcal{B}[\![b]\!], g \circ \mathcal{C}[\![c]\!], \mathbf{id})
\end{array}$$

Example

$$\texttt{while}\ \neg(x = 0)\ \texttt{skip}$$

Need for Theory

- Does the least fixed point (i.e. $\mathit{fix} F$) always exist?
- Is $\mathit{fix} F$ unique?
- What is the constructive definition of $\mathit{fix} F$?

Fixed Point Theory

Theorem (Kleene)

Let $f : D \to D$ be a continuous function on a CPO D. Then f has a (unique) least fixed point, $\mathit{fix}(f)$, and

$$\mathit{fix}(f) = \bigsqcup_{n \geq 0} f^n(\bot).$$

The denotational semantics is well-defined if

- $\mathbf{State} \hookrightarrow \mathbf{State}$ is a CPO, and
- $F : (\mathbf{State} \hookrightarrow \mathbf{State}) \to (\mathbf{State} \hookrightarrow \mathbf{State})$ is a continuous function.

Plan

- Complete Partial Order
- Continuous Functions
- Least Fixed Point

Partially Ordered Set

Definition (Partial Order)

We say a binary relation $\sqsubseteq$ is a partial order on a set D iff $\sqsubseteq$ is

- reflexive: $\forall p \in D.\ p \sqsubseteq p$
- transitive: $\forall p, q, r \in D.\ p \sqsubseteq q \ \wedge \ q \sqsubseteq r \implies p \sqsubseteq r$
- anti-symmetric: $\forall p, q \in D.\ p \sqsubseteq q \ \wedge \ q \sqsubseteq p \implies p = q$

We call such a pair $(D, \sqsubseteq)$ partially ordered set, or poset.

Lemma

If a partially ordered set $(D, \sqsubseteq)$ *has a least element* d*, then* d *is unique.*

Examples

Exercise (Powerset)

Let S be a non-empty set. Prove that $(\wp(S), \subseteq)$ is a partially ordered set.

Examples

Exercise (Partial Functions)

Let $X \hookrightarrow Y$ *be the set of all partial functions from a set* X *to a set* Y*, and define* $f \sqsubseteq g$ *iff*

$$\mathbf{dom}(f) \subseteq \mathbf{dom}(g) \ \wedge \ \forall x \in \mathbf{dom}(f).\ f(x) = g(x).$$

Prove that $(X \hookrightarrow Y, \sqsubseteq)$ *is a partially ordered set.*

Least Upper Bound

Definition (Least Upper Bound)

Let $(D, \sqsubseteq)$ be a partially ordered set and let Y be a subset of D. An upper bound of Y is an element d of D such that

$$\forall d' \in Y.\ d' \sqsubseteq d.$$

An upper bound d of Y is a least upper bound if and only if $d \sqsubseteq d'$ for every upper bound d' of Y. The least upper bound of Y is denoted by $\bigsqcup Y$.

Lemma

If Y has a least upper bound d, then d is unique.

Chain

Definition (Chain)

Let $(D, \sqsubseteq)$ be a poset and Y a subset of D. Y is called a chain if Y is totally ordered:

$$\forall y_1, y_2 \in Y. y_1 \sqsubseteq y_2 \text{ or } y_2 \sqsubseteq y_1.$$

Example

Consider the poset $(\wp(\{a, b, c\}), \subseteq)$.

- $Y_1 = \{\emptyset, \{a\}, \{a, c\}\}$
- $Y_2 = \{\emptyset, \{a\}, \{c\}, \{a, c\}\}$

Complete Partial Order (CPO)

Definition (CPO)

A poset $(D, \sqsubseteq)$ is a CPO, if every chain $Y \subseteq D$ has $\bigsqcup Y \in D$.

Definition (Complete Lattice)

A poset $(D, \sqsubseteq)$ is a complete lattice, if every subset $Y \subseteq D$ has $\bigsqcup Y \in D$.

Lemma

If $(D, \sqsubseteq)$ is a CPO, then it has a least element $\bot$ given by $\bot = \bigsqcup \emptyset$.

Examples

Example

Let S be a non-empty set. Then, $(\wp(S), \subseteq)$ is a CPO. The lub $\bigsqcup Y$ for Y is $\bigcup Y$. The least element is $\emptyset$.

Examples

Example

The poset $(X \hookrightarrow Y, \sqsubseteq)$ of all partial functions from a set X to a set Y, equipped with the partial order

$$\mathbf{dom}(f) \subseteq \mathbf{dom}(g) \ \wedge \ \forall x \in \mathbf{dom}(f).\ f(x) = g(x)$$

is a CPO (but not a complete lattice). The lub of a chain Y is the partial function f with $\mathbf{dom}(f) = \bigcup_{f_i \in Y} \mathbf{dom}(f_i)$ and

$$f(x) = \begin{cases} f_n(x) & \cdots x \in \mathbf{dom}(f_i) \text{ for some } f_i \in Y \\ \mathbf{undef} & \cdots \textit{otherwise} \end{cases}$$

The least element $\bot = \lambda x.\mathbf{undef}$.

Monotone Functions

Definition (Monotone Functions)

A function $f : D \to E$ between posets is *monotone* iff

$$\forall d, d' \in D.\ d \sqsubseteq d' \implies f(d) \sqsubseteq f(d').$$

Example

Consider $(\wp(\{a,b,c\}),\subseteq)$ and $(\wp(\{d,e\}),\subseteq)$ and two functions $f_1, f_2 : \wp(\{a,b,c\}) \to \wp(\{d,e\})$

X	$\{a,b,c\}$	$\{a,b\}$	$\{a,c\}$	$\{b,c\}$	$\{a\}$	$\{b\}$	$\{c\}$	$\emptyset$
$f_1(X)$	$\{d,e\}$	$\{d\}$	$\{d,e\}$	$\{d,e\}$	$\{d\}$	$\{d\}$	$\{e\}$	$\emptyset$

X	$\{a,b,c\}$	$\{a,b\}$	$\{a,c\}$	$\{b,c\}$	$\{a\}$	$\{b\}$	$\{c\}$	$\emptyset$
$f_2(X)$	$\{d\}$	$\{d\}$	$\{d\}$	$\{e\}$	$\{d\}$	$\{e\}$	$\{e\}$	$\{e\}$

Exercise

Determine which of the following functionals of

$$(\mathbf{State} \hookrightarrow \mathbf{State}) \to (\mathbf{State} \hookrightarrow \mathbf{State})$$

are monotone:

1. $F_0(g) = g$.
2. $F_1(g) = \begin{cases} g_1 & \cdots g_1 = g_2 \\ g_2 & \cdots \textit{otherwise} \end{cases}$ where $g_1 \neq g_2$.
3. $F_2(g) = \lambda s. \begin{cases} g(s) & \cdots s(x) \neq 0 \\ s & \cdots s(x) = 0 \end{cases}$

Properties of Monotone Functions

Lemma

Let $(D_1, \sqsubseteq_1)$, $(D_2, \sqsubseteq_2)$, *and* $(D_3, \sqsubseteq_3)$ *be CPOs. Let* $f : D_1 \to D_2$ *and* $g : D_2 \to D_3$ *be monotone functions. Then,* $g \circ f : D_1 \to D_3$ *is a monotone function.*

Properties of Monotone Functions

Lemma

Let $(D_1, \sqsubseteq_1)$ *and* $(D_2, \sqsubseteq_2)$ *be CPOs. Let* $f : D_1 \to D_2$ *be a monotone function. If* Y *is a chain in* D_1*, then* $f(Y) = \{f(d) \mid d \in Y\}$ *is a chain in* D_2*. Furthermore,*

$$\bigsqcup f(Y) \sqsubseteq f(\bigsqcup Y).$$

Continuous Functions

Definition (Continuous Functions)

A function $f : D_1 \to D_2$ defined on posets $(D_1, \sqsubseteq_1)$ and $(D_2, \sqsubseteq_2)$ is continuous if it is monotone and it preserves least upper bounds of chains:

$$\bigsqcup f(Y) = f(\bigsqcup Y)$$

for all non-empty chains Y in D_1. If $f(\bigsqcup Y) = \bigsqcup f(Y)$ holds for the empty chain (that is, $\bot = f(\bot)$), then we say that f is strict.

Properties of Continuous Functions

Lemma

Let $f : D_1 \to D_2$ *be a monotone function defined on posets* $(D_1, \sqsubseteq_1)$ *and* $(D_2, \sqsubseteq_2)$ *and* D_1 *is a finite set. Then,* f *is continuous.*

Properties of Continuous Functions

Lemma

Let $(D_1, \sqsubseteq_1)$, $(D_2, \sqsubseteq_2)$, *and* $(D_3, \sqsubseteq_3)$ *be CPOs. Let* $f : D_1 \to D_2$ *and* $g : D_2 \to D_3$ *be continuous functions. Then,* $g \circ f : D_1 \to D_3$ *is a continuous function.*

Least Fixed Points

Definition (Fixed Point)

Let $(D, \sqsubseteq)$ be a poset. A *fixed point* of a function $f : D \to D$ is an element $d \in D$ such that $f(d) = d$. We write $\mathit{fix}(f)$ for the *least fixed point* of f, if it exists, such that

- $f(\mathit{fix}(f)) = \mathit{fix}(f)$
- $\forall d \in D.\ f(d) = d \implies \mathit{fix}(f) \sqsubseteq d$

Fixed Point Theorem

Theorem (Kleene Fixed Point)

Let $f : D \to D$ be a continuous function on a CPO D. Then f has a least fixed point, $\mathit{fix}(f)$, and

$$\mathit{fix}(f) = \bigsqcup_{n \geq 0} f^n(\bot)$$

where $f^n(\bot) = \begin{cases} \bot & n = 0 \\ f(f^{n-1}(\bot)) & n > 0 \end{cases}$

Proof

We show the claims of the theorem by showing that $\bigsqcup_{n\geq 0} f^n(\bot)$ exists and it is indeed equivalent to $\mathit{fix}(f)$. First note that $\bigsqcup_{n\geq 0} f^n(\bot)$ exists because $f^0(\bot) \sqsubseteq f^1(\bot) \sqsubseteq f^2(\bot) \sqsubseteq \ldots$ is a chain. We show by induction that $\forall n \in \mathbb{N}. f^n(\bot) \sqsubseteq f^{n+1}(\bot)$:

- $\bot \sqsubseteq f(\bot)$ ($\bot$ is the least element)
- $f^n(\bot) \sqsubseteq f^{n+1}(\bot) \implies f^{n+1}(\bot) \sqsubseteq f^{n+2}(\bot)$ (monotonicity of f)

Now, we show that $\mathit{fix}(f) = \bigsqcup_{n\geq 0} f^n(\bot)$ in two steps:

- We show that $\bigsqcup_{n\geq 0} f^n(\bot)$ is a fixed point of f:

$$
\begin{aligned}
f(\bigsqcup_{n\geq 0} f^n(\bot)) &= \bigsqcup_{n\geq 0} f(f^n(\bot)) && \text{continuity of } f \\
&= \bigsqcup_{n\geq 0} f^{n+1}(\bot) \\
&= \bigsqcup_{n\geq 0} f^n(\bot)
\end{aligned}
$$

Proofs

- We show that $\bigsqcup_{n\geq 0} f^n(\bot)$ is smaller than all the other fixed points. Suppose d is a fixed point, i.e., $f(d) = d$. Then,

$$\bigsqcup_{n\geq 0} f^n(\bot) \sqsubseteq d$$

  since $\forall n \in \mathbb{N}. f^n(\bot) \sqsubseteq d$:

$$f^0(\bot) = \bot \sqsubseteq d, \qquad f^n(\bot) \sqsubseteq d \implies f^{n+1}(\bot) \sqsubseteq f(d) = d.$$

Therefore, we conclude

$$\mathit{fix}(f) = \bigsqcup_{n\geq 0} f^n(\bot).$$

Well-definedness of the Semantics

The function F

$$F(g) = \mathbf{cond}(\mathcal{B}[\![b]\!], g \circ \mathcal{C}[\![c]\!], \mathbf{id})$$

is continuous.

Lemma

Let $g_0 : \mathbf{State} \hookrightarrow \mathbf{State}, p : \mathbf{State} \to \mathbf{T}$*, and define*

$$F(g) = \mathbf{cond}(p, g, g_0).$$

Then, F *is continuous.*

Lemma

Let $g_0 : \mathbf{State} \hookrightarrow \mathbf{State}$*, and define*

$$F(g) = g \circ g_0.$$

Then F *is continuous.*