

# COSE215: Theory of Computation

## Lecture 19 — Undecidability (1)

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2015 Spring

# Undecidable Problems

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Undecidable problems are those that cannot be solved by computers.

- Non-recursive (= undecidable)
- Non-recursively enumerable (=semi-undecidable)

# Today

- 1 Define the class of recursively enumerable languages
- 2 Define the class of recursive languages
- 3 Define a non-recursively enumerable language  $L_d$  and prove it
- 4 Define a non-recursive language  $L_u$  and prove it

# Decidable Problems

## Definition

A language  $L$  is *recursively enumerable* (RE) if there exists a Turing machine that accepts it.

$$L \text{ is RE} \Leftrightarrow \exists M \in TM. \forall w \in L. q_0 w \vdash^* x_1 q_f x_2$$

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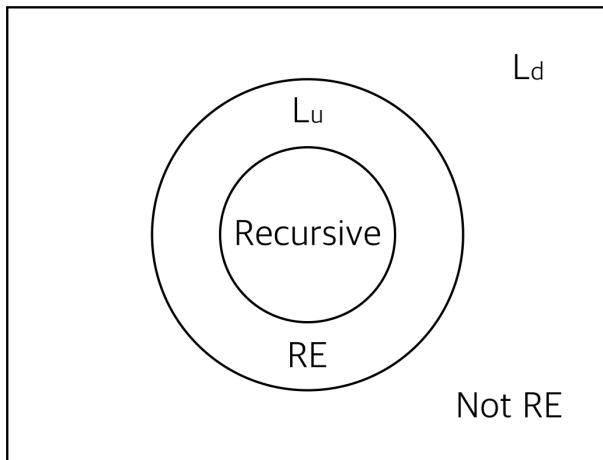
$$L \text{ is RE} \Leftrightarrow \exists M \in TM. \forall w \in L. q_0 w \vdash^* x_1 q_f x_2$$

## Definition

A language  $L$  is *recursive* if there exists a Turing machine that accepts it and always terminates.

- 1 If  $w$  is in  $L$ , then  $M$  accepts
- 2 If  $w$  is not in  $L$ , then  $M$  eventually halts

# Recursive / RE / Non-RE Languages





$L_d$ : A language that is not recursively enumerable

We aim to define a language  $L_d$  that is not recursively enumerable:

$$L_d = \{w_i \mid w_i \notin L(M_i)\}$$

# Representing Turing Machines as Binary Strings

$$M = (Q, \{0, 1\}, \Gamma, \delta, q_1, B, F)$$

- 1  $Q = \{q_1, q_2, \dots, q_r\}$
- 2  $\Gamma = \{X_1, X_2, X_3, \dots, X_s\}$

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We encode the transition function

$$\delta(q_i, X_j) = (q_k, X_l, D)$$

by

- $0^i 10^j 10^k 10^l 10$  when  $D = L$
- $0^i 10^j 10^k 10^l 100$  when  $D = R$

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The entire Turing machine is represented by

$$C_1 11 C_2 11 \dots C_{n-1} 11 C_n$$

## Example

$$M = (\{q_1, q_2, q_3\}, \{0, 1\}, \{0, 1, B\}, \delta, q_1, B, \{q_2\})$$

$$\delta(q_1, 1) = (q_3, 0, R), \quad 0100100010100$$

$$\delta(q_3, 0) = (q_1, 1, R), \quad 0001010100100$$

$$\delta(q_3, 1) = (q_2, 0, R), \quad 00010010010100$$

$$\delta(q_3, B) = (q_3, 1, L), \quad 0001000100010010$$

The entire Turing machine:

01001000101001100010101001001100010010010100110001000100

## Binary strings can be ordered

$$w_1 = \lambda$$

$$w_2 = 0$$

$$w_3 = 1$$

$$w_4 = 00$$

$$w_5 = 01$$

$$w_6 = 10$$

$$w_7 = 11$$

$$w_8 = 000$$

$$w_9 = 001$$

$$\vdots$$

## Binary strings can be ordered

$$\begin{aligned}w_1 &= \lambda \\w_2 &= 0 \\w_3 &= 1 \\w_4 &= 00 \\w_5 &= 01 \\w_6 &= 10 \\w_7 &= 11 \\w_8 &= 000 \\w_9 &= 001 \\&\vdots\end{aligned}$$

The order of binary string  $w$  is the integer value of  $1w$

## Turing machines can be ordered

$M_i$ : The  $i$ th Turing machine

### Definition

We define  $M_i$  to be the Turing machine whose binary representation is  $w_i$ .



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When  $M_i$  is not a valid Turing machine, define  $M_i$  to be a Turing machine with one state and no transitions, e.g.,  $M_1$ .

## The definition of $L_d$

### Definition

$$L_d = \{w_i \mid w_i \notin L(M_i)\}$$

### Theorem

$L_d$  is not a recursively enumerable language.

### Proof Sketch.

Suppose  $L_d = L(M)$  for some TM  $M$ . Let  $k$  be the number of  $M$ , i.e.,  $M = M_k$ .

Ask if  $w_k$  is in  $L_d$ .

- If  $w_k \in L_d$ , then  $M$  accepts  $w_k$ . But then, by definition of  $L_d$ ,  $w_i \notin L_d$ . Contradiction.
- If  $w_k \notin L_d$ , then  $M$  does not accept  $w_k$ . But then, by definition of  $L_d$ ,  $w_k \in L_d$ . Contradiction.

□

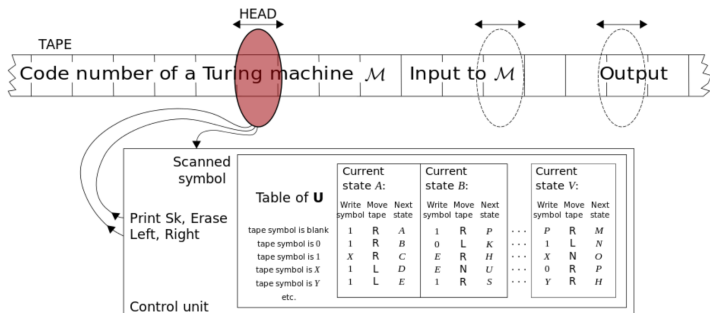
$L_u$ : A language that is RE but not recursive

We define a language  $L_u$  that is not recursively enumerable:

$$L_u = \{(M, w) \mid w \in L(M)\}$$

# $L_u$ is recursively enumerable

The *universal Turing machine* accepts  $L_u = \{(M, w) \mid w \in L(M)\}$ .



## A property of complements

### Lemma

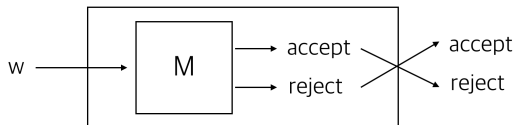
*If  $L$  is a recursive language, then so is  $\bar{L}$ .*

# A property of complements

## Lemma

*If  $L$  is a recursive language, then so is  $\bar{L}$ .*

Let  $L = L(M)$  for some TM  $M$  that always halts. We construct a TM  $\bar{M}$  such that  $\bar{L} = L(\bar{M})$  as follows:



## $L_u$ is not recursive

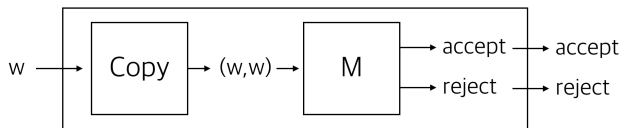
### Theorem

$L_u$  is RE but not recursive.

- Suppose  $L_u$  were recursive.
- Then by the property of complements,  $\bar{L}_u$  is also recursive.
- However, if we have a TM  $M$  to accept  $\bar{L}_u$ , then we can construct a TM to accept  $L_d$  (explained next).
- We already know that  $L_d$  is not RE, contradiction.

## Construction of TM to accept $L_d$ from TM to accept $\bar{L}_u$

Suppose  $L(M) = \bar{L}_u$ . We construct  $M'$  s.t.  $L(M') = L_d$  as follows:





# Summary

- decidable / undecidable problems
- concrete examples of undecidable languages

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- concrete examples of undecidable languages

Note that undecidable languages are different from intractable problems:

- undecidable problems: fundamentally unsolvable
- intractable problems: solvable but no efficient algorithms are known